

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q75483

Yasushi TAKEDA, et al.

Appln. No.: 10/650,668

Group Art Unit: Not yet assigned

Confirmation No.: Not yet assigned

Examiner: Not yet assigned

Filed: August 22, 2003

For:

OPTICAL AMPLIFIER, METHOD FOR COMPENSATING FOR TEMPERATURE DEPENDENCY OF GAIN FLATNESS OF AN OPTICAL AMPLIFIER AND OPTICAL

TRANSMISSION PATH INCLUDING OPTICAL AMPLIFIER

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- Mototaka Kakui et al., Technical Digest of Optical Fiber Communication Conference, USA, March 8, 2000, pp. 6-8, WA3.
- Yuu Ishii et al., Proceedings of Topical Meeting on Optical Amplifiers and their Applications, Italy, July 1, 2001, pp. 114-116.
- Hiroji Masuda, Technical Digest of Optical Fiber Communication Conference, USA,
   March 7, 2000, p.p. 2-4, TuA1.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/650,668

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three

months from the application's filing date for an application other than a continued prosecution

application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the

merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

request for continued examination (RCE) under §1.114, and therefore, no Statement under

37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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WASHINGTON OFFICE

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CUSTOMER NUMBER

Date: October 14, 2003

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STATEMENT BY APPLICANT	First Named Inventor	Yasushi TAKEDA
T. V. E. 81	Art Unit	Not yet assigned
(use as many sheets as necessary)	Examiner Name	Not yet assigned
7RADE Sheet 1 of 1	Attorney Docket Number	Q75483
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		NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, nublisher, city, and/or country where published.	Translation
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Initials*	No.1	journal, serial, symposium, catalog, etc.), date, page 53, volume used in a serial, symposium, catalog, etc.), date, page 53, volume used in a serial, symposium, catalog, etc.), date, page 53, volume used in a serial, symposium, catalog, etc.), date, page 53, volume used in a serial, symposium, catalog, etc.), date, page 53, volume used in a serial, symposium, catalog, etc.), date, page 53, volume used in a serial symposium, catalog, etc.), date, page 53, volume used in a serial symposium, catalog, etc.), date, page 53, volume used in a serial symposium, catalog, etc.), date, page 53, volume used in a serial symposium, catalog, etc.), date, page 53, volume used in a serial symposium, catalog, etc.), date, page 53, volume used in a serial symposium, catalog, etc.), date, page 53, volume used in a serial symposium, catalog, etc.), date, page 53, volume used in a serial symposium, catalog, etc.), date, page 53, volume used in a serial symposium used in a	l .
		2000, pp. 6-8, WA3  Yuu Ishii et al., Proceedings of Topical Meeting on Optical Amplifiers and their Applications, Italy, July 1,	
		2001, pp. 114-116  3. Hiroji Masuda, Technical Digest of Optical Fiber Communication Conference, USA, March 7, 2000, p.p.	
		2-4, TuA1	<u> </u>

Date Considered
Examiner Signature

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.